

Lemma If  $f \in L^\infty$  then  $\sigma(f) = \text{essran}(f)$

①

Proof If  $\lambda \notin \text{essran}(f)$  then  $\exists \varepsilon > 0$   $|f - \lambda| \geq \varepsilon$  a.e.

or equiv.  $|\frac{1}{f - \lambda}| \leq \frac{1}{\varepsilon} = M$  a.e. thus  $\frac{1}{f - \lambda} \in L^\infty$ .

Conversely, if  $\lambda \in \text{essran}(f)$ , then

$\forall \varepsilon > 0 \exists S_\varepsilon \subset L^\infty$  s.t.  $|f - \lambda| < \varepsilon$  with

$> 0$  measure. This implies  $|\frac{1}{f - \lambda}| > M$

with  $> 0$  probability for all  $M$ , thus  $\frac{1}{f - \lambda} \notin L^\infty$

Thm If  $M$  is the max ideal space of  $L^\infty$  then

$\Pi$  is an isometric isomorphism of  $L^\infty$  onto  $C(M)$

Proof Recall that  $\Pi(\bar{f}) = \overline{\Pi(f)}$ . The image of  $L^\infty$  under  $\Pi$  is a self-adjoint subalgebra

of  $C(M)$  containing  $1 = \Pi(1)$

It also separates points since  $\varphi_1 \neq \varphi_2 \in M$

$\Rightarrow \varphi_1(f) \neq \varphi_2(f)$  for some  $f \in L^\infty \Rightarrow$

$\hat{f}(\varphi_1) \neq \hat{f}(\varphi_2)$  for  $f \in L^\infty$  and we

know that  $\hat{f}$  is continuous.

Then (Stone-Weierstrass)  $\Pi(L^\infty) = C(M)$

(we have also shown  $\sigma(f) = \text{essran}(f) = \|\Pi f\|$ )

$\|\Pi f\| = \sigma(f) \Rightarrow r(\text{essran}(f)) = \|f\|$

②

This is typically the Stone-Čech compactification.

Another interesting example; Stone's theorem

Let  $\mathbb{T} = \{z \in \mathbb{C} \mid |z|=1\}$  and for  $n \in \mathbb{Z}$

let  $\chi_n(z) = z^n$ . Then  $\chi_0 = 1$ ,  $\chi_{-n} = \overline{\chi_n}$

$\chi_{n+m} = \chi_n \cdot \chi_m$ . The functions

$$\mathcal{P} = \left\{ \sum_{n=-N}^N \alpha_n \chi_n \mid \alpha_n \in \mathbb{C} \right\} \text{ are called}$$

trig polynomials.

Note that  $\mathcal{P}$  is a self-adjoint subalgebra of  $C(\mathbb{T})$  containing 1 and separating points.

Thus  $\overline{\mathcal{P}} = C(\mathbb{T})$ ;  $\overline{M = \mathbb{T}}$ ,  $C(\mathbb{T}) \cong C(\mathbb{T})$

What about half infinite sums?

$$\text{Let } \mathcal{P}_+ = \left\{ \sum_{k \geq 0} \alpha_k \chi_k \mid \alpha_k \in \mathbb{C} \right\}$$

what is  $\overline{\mathcal{P}_+}$  (Note that this

is not self-adjoint; the elements of

$\mathcal{P}$  are not invertible, in general

Still,  $\mathcal{P}_+$  is a Banach algebra.

What is  $M$ ?

Note that if  $w \in \mathbb{C}$   $\sum_{n=0}^N \alpha_n w^n$  is in  $\mathbb{C}$  as well, and that  $|w| < 1 \Rightarrow$

$$\Rightarrow \mathcal{P}_N(w) = \frac{1}{2\pi} \int_0^{2\pi} \sum \alpha_n \chi_n(e^{it}) \cdot \frac{1}{1 - we^{-it}} dt \quad (*)$$

(Cauchy)

Clearly, for  $|w| < 1$   $\mathcal{P}_N(w)$  is multiplicative and the integral formula (\*) shows that

$\varphi_w = w \rightarrow \mathcal{P}_N(w)$  is bounded. It thus extends to  $\overline{\mathcal{P}_N(w)}$  and it is multiplicative in  $\overline{\mathcal{P}_N(w)} = A$

let  $\mathbb{D} = \{z \mid |z| < 1\}$  and  $M$  the max ideal space of  $A$ . We claim that  $M \simeq \mathbb{D}$

First,  $\forall z \in \mathbb{D}$  and  $f \in A$ ,  $f(w)$  is well defined by the closure of  $\varphi_w$ , and is multiplicative

(4)

Conversely, let  $\varphi$  be any multip. functional

on  $A$ . Since  $\|\varphi\| = 1$  we have  $|\varphi(f)| \leq 1$

$\forall f$ . In particular,  $\varphi(\chi_{z_0}) \in \overline{\mathbb{D}}$  and

$$\varphi(P_N) = \sum d_n z_0^n = \varphi_{z_0}(P_N)$$

By continuity and above,  $\varphi(f) = f(z_0)$

Note that the correspondence  $\varphi_z \rightarrow z \in \mathbb{D}$

is one-to-one since  $\varphi z_1 \neq \varphi z_2$

$\Leftrightarrow \varphi_{z_1} \neq \varphi_{z_2}$  (as applied to  $\chi_1 \equiv z$ )

The corresp.  $z \rightarrow \varphi_z$  is one-one, to show

it is bi-continuous we only need to show it

is continuous

Let  $z_\alpha \rightarrow z$  Then  $\varphi_{z_\alpha}(P_N) = P_N(z_\alpha) \rightarrow P_N(z)$

$\forall P_N$  Thus, by density and continuity

$$\varphi_{z_\alpha} \rightarrow \varphi_z$$

Thus  $\mathcal{M}(A) = \overline{\mathbb{D}}$  (while  $\mathcal{M}(C(\mathbb{T})) = \mathbb{T}$ )

there are, in a sense, more points where  
the elements of  $A$  can be evaluated

We see that  $\sigma_{\mathcal{C}(A)}(f) \subsetneq \sigma_{A_+}(f)$

Theorem (Silber) If  $A$  is a Banach algebra and  $A_1$  is a closed subalgebra of  $A$  then

$$\text{for } f \in A_1, \quad \partial\sigma_{A_1}(f) \subset \partial\sigma_A(f)$$

Proof If  $f - \lambda$  is invertible in  $A_1$ , then it is

invertible in  $A$ , obviously, thus  $\partial\sigma_{A_1}(f) \supset \partial\sigma_A(f)$

We only have to show the converse:  $\partial\sigma_{A_1}(f) \subset \partial\sigma_A(f)$

Assume  $\lambda_0 \in \partial\sigma_{A_1}(f)$ . Then  $\exists \lambda_1, \dots, \lambda_n, \dots$

$$\in \sigma_{A_1}(f) \quad \text{s.t.} \quad \lambda_n \rightarrow \lambda_0$$

Assume, to get a contradiction that  $\|f - \lambda_n\|^{-1}$  were bounded. say by  $\frac{1}{|\lambda_0 - \lambda_n|}$

$$\text{Then } \|f - \lambda_0\| = \|f - \lambda_n + \lambda_n - \lambda_0\| =$$

$$= \|f - \lambda_n\| \left( 1 + \underbrace{(\lambda_n - \lambda_0) \cdot \frac{1}{f - \lambda_n}}_{\| \cdot \| < 1} \right)$$

$\Rightarrow f - \lambda_0$  invertible, contradiction.

Thus  $\|f - \lambda_n\|^{-1} \rightarrow \infty$  also in  $A$

thus  $\lambda_0 \in \sigma(A) \supset \partial\sigma(A)$ .

⑥

Corollary

$\sigma_{A_1}$  and  $\sigma_A$  are compact sets in  $\mathbb{C}$ . We can take the connected component decomposition of  $\sigma_A$  and the one of  $\sigma_{A_1}$ . Since  $\partial \sigma_{A_1} = \partial \sigma_A$ , then  $\sigma_{A_1} = \sigma_A \cup$  connected components of  $\mathbb{C} \setminus \sigma_A$  ( $\sigma_{A_1}$  is obtained from  $\sigma_A$  by "filling holes"

$C^*$  algebras and involutions

Let  $A$  be a Banach algebra. An involution on  $A$  is a mapping  $f \rightarrow f^*$  which satisfies,

1.  $f^{**} = f \quad \forall f \in A$
2.  $(\alpha f + \beta g)^* = \bar{\alpha} f^* + \bar{\beta} g^*$
3.  $(fg)^* = g^* f^*$

Definition. A  $B^*$  algebra is a Banach algebra in which 1,2,3 hold and also

4.  $\|f^* f\| = \|f\|^2 \quad \forall f.$

(7)

An element  $f$  in an algebra is called

- unitary if  $f f^* = f^* f = I$

- self-adjoint if  $f = f^*$

- normal if  $f f^* = f^* f$ .

(Thus unitary and self-adj elements are always normal)

Lemma In a  $C^*$ -algebra  
(involution is an isometry)

$$\|T\| = \|T^*\|$$

Indeed  $\|f\|^2 = \|f^* f\| \leq \|f^*\| \|f\|$  (1)

$$\|f^*\|^2 = \|f^* f^{**}\| = \|f^*\| \|f\|$$
 (2)

(1) implies  $\|f\| \leq \|f^*\|$ ; (2) implies  $\|f^*\| \leq \|f\|$

Theorem In a  $C^*$ -algebra the spectrum of  $I$  any  $\sigma$ -a. T element is real; Unitary:  $\sigma(u) \subset \mathbb{T}$

Proof let  $u = e^{iT}$ . Then, form the series  $u^* = e^{-iT^*} = e^{-iT}$  Thus  $u$  is unitary  $\Rightarrow \|u\| = \|u u^*\| = \|1\| = 1$

(8)

Thus we proved  $\sigma(T) \subset \overline{D}$

Now, if  $|A| < 1$  then  $U - \lambda$

$$= U^*(1 - \lambda U^*)$$

↙ invertible  
↗ invertible.

Thus  $\sigma(U) \subset \mathbb{T}$ .

theorem, valid in any

By the spectral  
Banach space,

$$\sigma(e^{iT}) = e^{i\sigma(T)}$$

$$\Rightarrow \sigma(T) \subset \mathbb{R} \quad \square$$